

Program	Respondent	Location	Violation	Action	Date
Accountancy	Mariam Wells	Athens, TN	Dishonesty, fraud or gross negligence	Revocation of CPA license	9/15/2021
Accountancy	Glenn D. Edwards	Nashville, TN	Failure to comply with peer review requirements and providing attest services after firm permit expired	\$2,250 civil penalty	9/30/2021
Accountancy	Rick Harrison, CPA	Memphis, TN	Failure to provide proof of accepted peer review pursuant to the terms of the Consent Order	Revocation of firm permit	9/22/2021
Accountancy	Melissa Bowers	Murfreesboro, TN	Providing attest services without being enrolled in a peer review program and providing a false statement on a renewal application	\$2,000 civil penalty	9/29/2021
Alarm Systems Contractors	NO ACTION TAKEN				
Architects & Engineers	Grant R. Asfour	Knoxville, TN	Affixing the registrant's signature/ and or seal to a plan or document not prepared by the registrant	\$2,000 civil penalty and requirement to complete and pass the laws and rules exam	9/28/2021
Athletic Commission	NO ACTION TAKEN				
Auctioneers	Gregory T. Grimes	Linden, TN	CE Audit failure	\$100 civil penalty	9/7/2021
Auctioneers	Chad Frederick Harris	Jonesborough, TN	CE Audit failure	\$100 civil penalty	9/7/2021
Burial Services	Regions Bank-Funeral and Cemetery Trust Department	Houston, TX	Trustee made improvement care trust distributions in 2019 and 2020 without proper certification from the cemetery company, failed to file the 2019 and 2020 Annual Reports of Trustee on Improvement Care Trust Fund within the time limits required by law, and failed to file the 2020 Annual Report of Trustee on Merchandise and Services trust within the time limits required by law	\$750 civil penalty	9/24/2021
Burial Services	Polk Memorial Gardens	Columbia, TN	Failed to provide records regarding the amounts and dates of deposits to the cemetery's improvement care and merchandise and services trust funds, failed to provide a fidelity bond, failed to file the 2020 Cemetery's Annual Reports on Improvement Care Fund and Merchandise and Services Trust, failed to fully remit the consumer protection fees for 2019, and excess withdrawal from the improvement care trust fund	\$1,000 civil penalty	9/21/2021
Burial Services	Wilson County Memorial Park	Lebanon, TN	The 2020 Cemetery Company's Annual Report on Improvement Care Fund was incorrectly filed, the 2019 Cemetery Company's Annual Report of Merchandise and Services Trust Fund was incorrectly filed, failed to file the 2018 Cemetery Company's Annual Report on Improvement Care Trust Fund within the time limits required by law, and failed to provide specific cemetery sales contract documents and records	\$1,000 civil penalty	9/2/2021
Burial Services	Overton County Memorial Gardens	Livingston, TN	Failed to notify regarding a change of manager for the cemetery and failed to file the 2019 and 2020 Cemetery's Annual Reports on Improvement Care Trust Fund within the time limits required by law	\$500 civil penalty	9/21/2021
Collections	NO ACTION TAKEN				
Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers	Fabulous Floors Chattanooga	Mentor, OH	Unlicensed activity	\$1,000 civil penalty	9/3/2021
Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers	Roger D. Price	Chattanooga, TN	Unlicensed activity	\$1,000 civil penalty	9/22/2021
Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers	S & S Building	Hixson, TN	Unlicensed activity	\$4,500 civil penalty	9/10/2021
Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers	Firstsource Roofing Company	lakeland, TN	Unlicensed activity	\$1,000 civil penalty	9/13/2021
Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers	Casey Lillie	Johnson City, TN	Unlicensed activity	\$1,000 civil penalty	9/28/2021
Cosmetology & Barber	Hair Lounge of LaVergne	LaVergne, TN	Failure to ensure proper licensure and no licensed shop manager was present	\$700 civil penalty	9/3/2021
Cosmetology & Barber	Hoai Nguyen	Maryville, TN	Fraud in procuring a license	Revocation of license and \$200 costs	9/14/2021
Cosmetology & Barber	Ellise Pham	Cleveland, TN	Unlicensed activity	\$1,000 civil penalty	9/30/2021
Cosmetology & Barber	Mai Thuan	Knoxville, TN	Practicing with an expired cosmetologist license and operating a shop without a licensed shop manager present	\$100 civil penalty	9/16/2021
Cosmetology & Barber	Haven Rachele Underwood	Sevierville, TN	Shop manager failed to ensure proper licensure	\$500 civil penalty	9/24/2021
Cosmetology & Barber	Jody Bennett McCurdy	Brentwood, TN	Responsibilities of owner and manager of shop	\$500 civil penalty	9/1/2021
Cosmetology & Barber	Kim Thi Ngoc Nguyen	Franklin, TN	Respondent responsibility for the shop operating without a valid license	\$500 civil penalty	9/27/2021
Cosmetology & Barber	Duong Dinh Tran	Paris, TN	Respondent responsibility for unlicensed activity	\$6,500 civil penalty	9/27/2021
Cosmetology & Barber	Cheri Nail Bar	Morristown, TN	Unlicensed activity	\$1,000 civil penalty	8/31/2021
Cosmetology & Barber	Beauty Obsession Studios	Nashville, TN	Unlicensed activity	\$1,000 civil penalty	9/28/2021
Cosmetology & Barber	Lien Nails 1	Franklin, TN	Operating on an expired shop license	\$100 civil penalty	9/30/2021

Cosmetology & Barber	Imperio Latino Unisex Salon	Knoxville, TN	Shop without a valid license	\$1,000 civil penalty	9/13/2021
Cosmetology & Barber	X9 Nails and Spa	Paris, TN	Unlicensed activity	\$6,000 civil penalty	9/27/2021
Court Reporters	NO ACTION TAKEN				
Credit Services Business	NO ACTION TAKEN				
Debt Management	NO ACTION TAKEN				
Detection Services Licensing Program	Richard Jackson	Kingston Springs, TN	Failure to report conviction	\$500 civil penalty	9/14/2021
Funeral Directors & Embalmers	NO ACTION TAKEN				
Geologists	NO ACTION TAKEN				
Home Inspectors	Charles Baird	Brentwood, TN	Violation of the Home Inspector Standards of Practice.	\$250 civil penalty	9/21/2021
Land Surveyors	NO ACTION TAKEN				
Motor Vehicle	Certified Auto Repair and Sales LLC	Chattanooga, TN	Expired county/city business license	\$500 civil penalty	9/22/2021
Motor Vehicle	In and Out Auto Sales	Knoxville, TN	Issuing a temporary tag to a salvaged vehicle and failure to post business hours	\$2,750 civil penalty	9/17/2021
Motor Vehicle	Mercedes-Benz of Collierville	Collierville, TN	Issuing more temporary tags than allowed.	\$3,000 civil penalty	9/24/2021
Motor Vehicle	United enterprises Inc.	Nashville, TN	Unlicensed salesperson.	\$500 civil penalty	9/13/2021
Motor Vehicle	It's All Good Auto Sales, Inc.	Memphis, TN	Failure to respond to the complaint and mail from the Commission.	\$250 civil penalty	9/17/2021
Motor Vehicle	American Car Center	Memphis, TN	Issuing more temporary tags than allowed.	\$1,000 civil penalty	9/7/2021
Motor Vehicle	Rhythm Chrysler Dodge Jeep Ram Fiat	Charleston, SC	Failure to retain trade-in vehicle until funding was received from the financial institution providing the loan	\$500 civil penalty	9/13/2021
Motor Vehicle	Avis Rent A Car System, LLC	Memphis, TN	Expired county business license	\$250 civil penalty	9/7/2021
Motor Vehicle	C H Auto Sales Inc	La Vergne, TN	Issuing a temporary tag to a salvaged vehicle.	\$2,500 civil penalty	9/14/2021
Motor Vehicle	Beaty Chevrolet Company	Knoxville, TN	Engaging in false, fraudulent, or deceptive practice(s)	\$1,000 civil penalty	9/28/2021
Motor Vehicle	Harper American, LLC	Alcoa, TN	Engaging in false, fraudulent, or deceptive practice(s)	\$750 civil penalty	9/13/2021
Motor Vehicle	Nissan of Chattanooga East	Chattanooga, TN	Engaging in false, fraudulent, or deceptive practice(s)	\$1,250 civil penalty	9/21/2021
Motor Vehicle	AA Auto Sales and Repair Inc.	Lebanon, TN	Engaging in false, fraudulent, or deceptive practice(s)	\$500 civil penalty	9/7/2021
Motor Vehicle	Auto Depot of Madison Inc	Madison, TN	Advertising violation	\$250 civil penalty	9/9/2021
Motor Vehicle	Greenway Kia Rivergate	Madison, TN	Unlicensed salesperson(s)	\$2,000 civil penalty	9/7/2021
Motor Vehicle	Affordable Autos Inc	KNOXVILLE, TN	False, fraudulent, and deceptive acts.	\$3,500 civil penalty	9/10/2021
Motor Vehicle	Volkswagen Mitsubishi of North Nashville	Madison, TN	Advertising violation	\$250 civil penalty	9/24/2021
Motor Vehicle	Big City Autos	Nashville, TN	Unlicensed activity	\$500 civil penalty	9/17/2021
Private Investigations & Polygraph	NO ACTION TAKEN				
Private Probation Services	Alternative Judicial Services, LLC	Rogersville, TN	Operating on an expired company license and failed to file its 2018, 2019, and 2020 quarterly fees and reports	\$54,000 civil penalty	9/8/2021
Real Estate Appraisers	Daniel Turner Collier	Waverly, TN	USPAP Violations	Complete 15 Hours Continuing Education in Report Writing	2/16/2021
Real Estate Appraisers	Thomas A. Baine	Memphis, TN	USPAP Violations	\$2,000 civil penalty and 30 Hours CE Sales Comparison Course and 14 Hour CE Report	9/16/2021
Real Estate Commission	Dora Lynn Sheppard	Hickory, KY	Failure to supervise an affiliate due to lapse in affiliate's E&O insurance	\$400 civil penalty	9/10/2021
Real Estate Commission	Mark Campbell Jenkins	Nashville, TN	Failure to supervise the activities of an affiliate	1000	9/16/2021
Real Estate Commission	Robert Thomas Smith	Maryville, TN	Failure to supervise an affiliate due to lapse in affiliate's E&O insurance	\$400 civil penalty	9/2/2021
Real Estate Commission	Suzanne Nicole Dement	Jackson, TN	Failure to supervise an affiliate due to advertising violation	\$1,000 civil penalty	9/17/2021
Real Estate Commission	Gary L. Baker	Kingsport, TN	Engaging in brokerage activity when license was suspended	\$1,000 civil penalty	9/14/2021
Real Estate Commission	Brian Scott Hullette	Kingsport, TN	Acting for more than one party in a transaction without first obtaining consent in writing for all parties	\$1,000 civil penalty	9/16/2021
Real Estate Commission	Rose Marie Santos	Knoxville, TN	Advertising Violation	\$1,500 civil penalty	9/30/2021
Real Estate Commission	Michael Anthony McCord	Collierville, TN	Failure to exercise reasonable skill and care to all parties in a transaction	\$1,000 civil penalty	9/13/2021
Real Estate Commission	William C. Kirkland	Brentwood, TN	Failure to supervise an affiliate due to lapse in affiliate's E&O insurance	\$1,000 civil penalty	9/13/2021
Real Estate Commission	Teresa J. Crowe	Murphy, NC	Failure to supervise an affiliate due to lapse in affiliate's E&O insurance	\$1,000 civil penalty	9/23/2021
Real Estate Commission	Adam Slovis	Memphis, TN	Failure to supervise an affiliate due to lapse in affiliate's E&O insurance	\$1,000 civil penalty	9/13/2021
Real Estate Commission	Phillip E. Dildine	Gallatin, TN	Failure to supervise an affiliate due to lapse in affiliate's E&O insurance	\$1,000 civil penalty	9/7/2021
Real Estate Commission	Robert E. Loeb	Memphis, TN	Failure to supervise an affiliate due to lapse in affiliate's E&O insurance	\$1,000 civil penalty	9/8/2021
Real Estate Commission	Raymond B. Gill	Memphis, TN	Failure to supervise an affiliate due to lapse in affiliate's E&O insurance	\$1,000 civil penalty	9/2/2021
Real Estate Commission	Susan K. Gorney	Nashville, TN	Failure to supervise an affiliate due to lapse in affiliate's E&O insurance	\$1,000 civil penalty	9/7/2021
Real Estate Commission	Gina A. Kennedy	Kodak, TN	Failure to supervise an affiliate due to lapse in affiliate's E&O insurance	\$1,000 civil penalty	9/16/2021
Real Estate Commission	William Hallcomb	Cookeville, TN	Failure to supervise an affiliate due to lapse in affiliate's E&O insurance	\$1,000 civil penalty	9/28/2021
Real Estate Commission	Eric M. Crutcher	Olive Branch, MS	Failure to supervise an affiliate due to lapse in affiliate's E&O insurance	\$1,000 civil penalty	9/13/2021
Real Estate Commission	Kenneth H. Smith	Mount Juliet, TN	Failure to supervise an affiliate due to lapse in affiliate's E&O insurance	\$1,000 civil penalty	9/8/2021
Real Estate Commission	Roger D. Kennard	Cleveland, TN	Failure to supervise an affiliate due to lapse in affiliate's E&O insurance	\$1,000 civil penalty	9/23/2021
Real Estate Commission	Kevin Van Aken	Clarksville, TN	Failure to supervise an affiliate due to lapse in affiliate's E&O insurance	\$1,000 civil penalty	9/28/2021
Real Estate Commission	Robert Wallace Shiels	Franklin, TN	Failure to supervise an affiliate due to lapse in affiliate's E&O insurance	\$1,000 civil penalty	9/14/2021

Real Estate Commission	Chad Matthew Ramsey	Franklin, TN	Failure to supervise an affiliate due to lapse in affiliate's E&O insurance	\$1,000 civil penalty	9/16/2021
Real Estate Commission	Patricia G. Lee	LaVergne, TN	Failure to supervise an affiliate due to lapse in affiliate's E&O insurance	\$1,000 civil penalty	9/21/2021
Real Estate Commission	Fletcher Bright Realty, Inc.	Chattanooga, TN	Failure to respond to a complaint	\$1,000 civil penalty	9/16/2021
Scrap Metals	NO ACTION TAKEN				
Soil Scientists	NO ACTION TAKEN				